

Simplicial and Cellular Spanning Trees, II: Applications

Art Duval (University of Texas at El Paso)
Caroline Klivans (Brown University)
Jeremy Martin (University of Kansas)

University of California, Davis
March 2011

Shifted Complexes

Definition A simplicial complex Δ on vertices $[n]$ is **shifted** if for all $F \in \Delta$, $i \in \Delta$, $j \notin \Delta$, and $j < i$, we have $F \setminus \{i\} \cup \{j\} \in \Delta$.

Example If Δ is shifted and $235 \in \Delta$, then Δ must also contain the faces 234 , 135 , 134 , 125 , 124 , 123 .

- ▶ Shifted complexes of dimension 1 are *threshold graphs*.

Shifted Complexes

Define the **componentwise (partial) order** on $(d + 1)$ -sets of positive integers

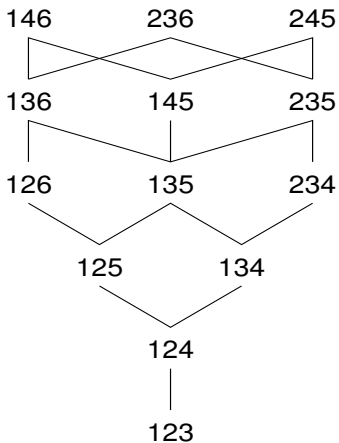
$$A = \{a_1 < a_2 < \cdots < a_{d+1}\},$$

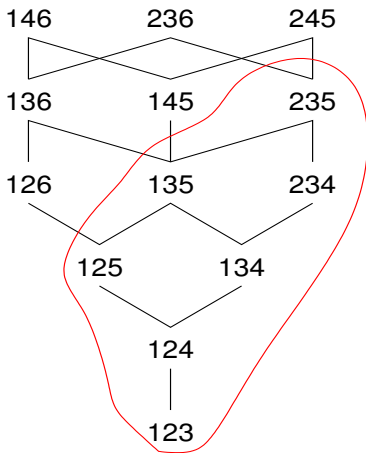
$$B = \{b_1 < b_2 < \cdots < b_{d+1}\}$$

by

$$A \preceq B \iff a_i \leq b_i \text{ for all } i.$$

- ▶ The set of facets of a shifted complex is a *lower order ideal* with respect to \preceq .





Shifted Complexes

Proposition Shifted complexes are shellable, hence Cohen-Macaulay, hence metaconnected.

Theorem [Duval–Reiner 2001]

For Δ shifted, the eigenvalues of the unweighted Laplacian L are given by the transpose of the vertex/facet degree sequence.

- ▶ In particular, shifted complexes are Laplacian integral.

The Combinatorial Fine Weighting

Let Δ^d be a shifted complex on vertices $[n]$.

For each facet $A = \{a_1 < a_2 < \cdots < a_{d+1}\}$, define

$$x_A = \prod_{i=1}^{d+1} x_{i,a_i} .$$

Example If $\Upsilon = \langle 123, 124, 134, 135, 235 \rangle$ is a simplicial spanning tree of Δ , its contribution to h_2 is

$$x_{1,1}^4 x_{1,2} x_{2,2}^2 x_{2,3}^3 x_{3,3} x_{3,4}^2 x_{3,5}^2 .$$

The Algebraic Fine Weighting

For faces $A \subset B \in \Delta$ with $\dim A = i - 1$, $\dim B = i$, define

$$X_{AB} = \frac{\uparrow^{d-i} x_B}{\uparrow^{d-i+1} x_A}$$

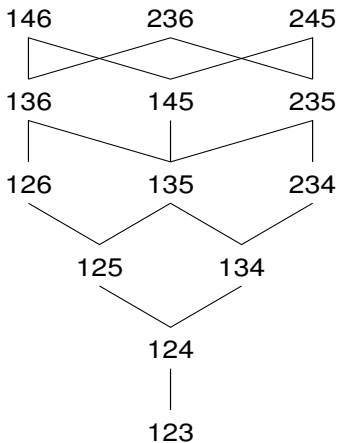
where $\uparrow x_{i,j} = x_{i+1,j}$.

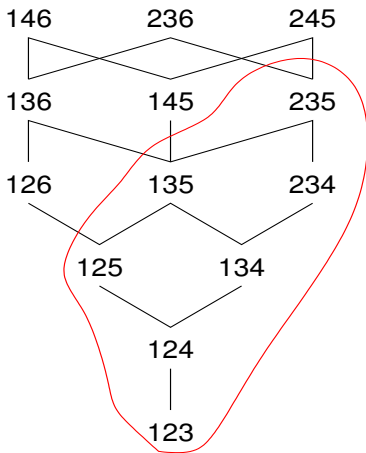
- ▶ Weighted boundary maps ∂ satisfy $\partial\partial = 0$.
- ▶ Laplacian eigenvalues are the same as those for the combinatorial fine weighting, except for denominators.

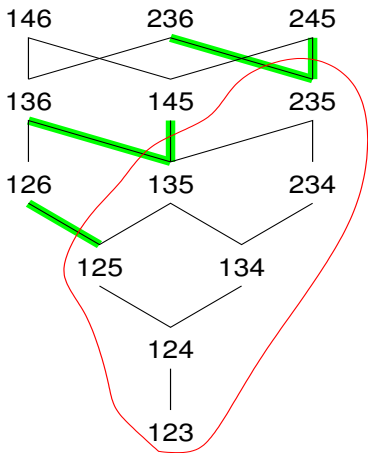
Critical Pairs

Definition A **critical pair** of a shifted complex Δ^d is an ordered pair (A, B) of $(d + 1)$ -sets of integers, where

- ▶ $A \in \Delta$ and $B \notin \Delta$; and
- ▶ B covers A in componentwise order.







The Signature of a Critical Pair

Let (A, B) be a critical pair of a complex Δ :

$$A = \{a_1 < a_2 < \cdots < a_i < \cdots < a_{d+1}\},$$

$$B = A \setminus \{a_i\} \cup \{a_i + 1\}.$$

Definition The **signature** of (A, B) is the ordered pair

$$(\{a_1, a_2, \dots, a_{i-1}\}, a_i).$$

Finely Weighted Laplacian Eigenvalues

Theorem [Duval–Klivans–JLM 2007]

Let Δ^d be a shifted complex.

Then the finely weighted Laplacian eigenvalues of Δ are specified completely by the signatures of critical pairs of Δ .

$$\text{signature}(S, a) \implies \text{eigenvalue} \frac{1}{\uparrow X_S} \sum_{j=1}^a X_{S \cup j}$$

Examples of Finely Weighted Eigenvalues

- ▶ Critical pair (135,145); signature (1,3):

$$\frac{X_{11}X_{21} + X_{11}X_{22} + X_{11}X_{23}}{X_{21}}$$

- ▶ Critical pair (235,236); signature (23,5):

$$\frac{X_{11}X_{22}X_{33} + X_{12}X_{22}X_{33} + X_{12}X_{23}X_{33} + X_{12}X_{23}X_{34} + X_{12}X_{23}X_{35}}{X_{22}X_{33}}$$

Sketch of Proof

- ▶ Calculate eigenvalues of Δ in terms of eigenvalues of the *deletion* and *link*:

$$\text{del}_1 \Delta = \{F \in \Delta \mid 1 \notin F\},$$

$$\text{link}_1 \Delta = \{F \in \Delta \mid 1 \notin F, F \cup \{1\} \in \Delta\}.$$

- ▶ If Δ is shifted, then so are $\text{del}_1 \Delta$ and $\text{link}_1 \Delta$.

Sketch of Proof

- ▶ Calculate eigenvalues of Δ in terms of eigenvalues of the *deletion* and *link*:

$$\text{del}_1 \Delta = \{F \in \Delta \mid 1 \notin F\},$$

$$\text{link}_1 \Delta = \{F \in \Delta \mid 1 \notin F, F \cup \{1\} \in \Delta\}.$$

- ▶ If Δ is shifted, then so are $\text{del}_1 \Delta$ and $\text{link}_1 \Delta$.
- ▶ Establish a recurrence for critical pairs of Δ in terms of those of $\text{del}_1 \Delta$ and $\text{link}_1 \Delta$

Sketch of Proof

- ▶ Calculate eigenvalues of Δ in terms of eigenvalues of the *deletion* and *link*:

$$\text{del}_1 \Delta = \{F \in \Delta \mid 1 \notin F\},$$

$$\text{link}_1 \Delta = \{F \in \Delta \mid 1 \notin F, F \cup \{1\} \in \Delta\}.$$

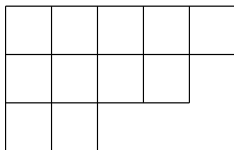
- ▶ If Δ is shifted, then so are $\text{del}_1 \Delta$ and $\text{link}_1 \Delta$.
- ▶ Establish a recurrence for critical pairs of Δ in terms of those of $\text{del}_1 \Delta$ and $\text{link}_1 \Delta$
- ▶ “Here see ye two recurrences, and lo! they are the same.”

Consequences of the Main Theorem

- ▶ Passing to the unweighted version (by setting $x_{i,j} = 1$ for all i, j) recovers the Duval–Reiner theorem.
- ▶ Special case $d = 1$: recovers known weighted spanning tree enumerators for threshold graphs (Remmel–Williamson 2002; JLM–Reiner 2003).
- ▶ A shifted complex is determined by its set of signatures, so we can “hear the shape of a shifted complex” from its Laplacian spectrum.

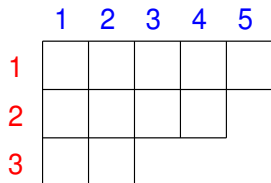
Ferrers Graphs

A **Ferrers graph** is a bipartite graph whose vertices correspond to the rows and columns of a Ferrers diagram.



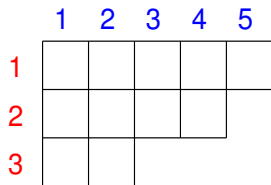
Ferrers Graphs

A **Ferrers graph** is a bipartite graph whose vertices correspond to the rows and columns of a Ferrers diagram.



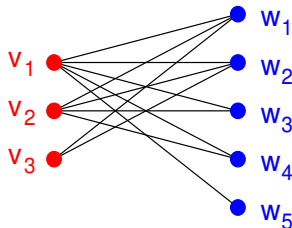
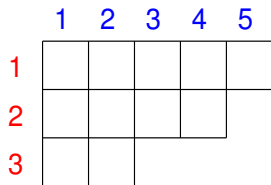
Ferrers Graphs

A **Ferrers graph** is a bipartite graph whose vertices correspond to the rows and columns of a Ferrers diagram.

 V_1 ● V_2 ● V_3 ●● W_1 ● W_2 ● W_3 ● W_4 ● W_5

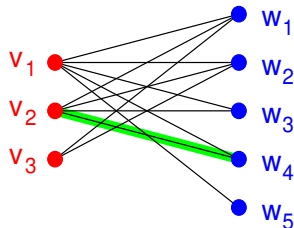
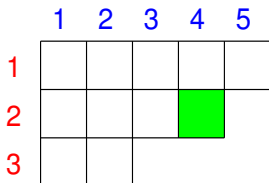
Ferrers Graphs

A **Ferrers graph** is a bipartite graph whose vertices correspond to the rows and columns of a Ferrers diagram.



Ferrers Graphs

A **Ferrers graph** is a bipartite graph whose vertices correspond to the rows and columns of a Ferrers diagram.



Ferrers Graphs

Ferrers graphs are bipartite analogues of threshold graphs.

- ▶ Degree-weighted spanning tree enumerator for Ferrers graphs: Ehrenborg and van Willigenburg (2004)
- ▶ Formula can also be derived from our finely weighted spanning tree enumerator for a threshold graph
- ▶ Higher-dimensional analogues?

Color-Shifted Complexes

Let Δ be a complex on $V = \bigcup_i V_i$, where

$$V_1 = \{v_{11}, \dots, v_{1r_1}\}, \dots, V_n = \{v_{n1}, \dots, v_{nr_n}\}.$$

are disjoint vertex sets (“color classes”).

Definition Δ is **color-shifted** if

- ▶ no face contains more than one vertex of the same color; and
- ▶ if $\{v_{1b_1}, \dots, v_{nb_n}\} \in \Delta$ and $a_i \leq b_i$ for all i , then $\{v_{1a_1}, \dots, v_{na_n}\} \in \Delta$.

Color-Shifted Complexes

- ▶ Color-shifted complexes generalize Ferrers graphs (Ehrenborg–van Willigenburg) and complete colorful complexes (Adin)
- ▶ Not in general Laplacian integral. . .
- ▶ . . . but they do seem to have nice degree-weighted spanning tree enumerators.

Matroid Complexes

Definition A pure simplicial complex Δ is a **matroid complex** if its facets form a matroid basis system:

- ▶ if F, G are facets and $i \in F \setminus G$,
- ▶ then there exists $j \in G \setminus F$ such that $F \setminus \{i\} \cup \{j\}$ is a facet.

Theorem [Kook–Reiner–Stanton 1999] Matroid complexes are Laplacian integral.

- ▶ Experimentally, degree-weighted spanning tree enumerators seem to have nice factorizations.